

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DO/PTO
Rev. 6/95U.S. Department of Commerce
Patent and Trademark Office**DECLARATION FOR
UTILITY OR DESIGN
PATENT APPLICATION**☐ Declaration
Submitted with
Initial Filing

OR

☐ Declaration
Submitted after
Initial Filing

Attorney Docket Number

4147-10-PUS

First Named Inventor

GODDARD, John G.

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed for which a patent is sought on the invention entitled:

**"COMPOSITIONS CONTAINING LYSOPHOSPHOTIDIC ACIDS WHICH INHIBIT APOPTOSIS AND USES
THEREOF"**
(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

17 March 1999

as United States Application Number or PCT International

Application Number

PCT/US99/05943

and was amended on
(MM/DD/YYYY)

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any Pct international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below

Application Number(s)	Filing Date (MM/DD/YYYY)		
60/078,375	March 18, 1998	<input type="checkbox"/>	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents Washington, DC 20231

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DECLARATION

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States of PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☒ Firm Name
OR

SHERIDAN ROSS P.C.

Customer Number or label

☐ List attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
ZINGER, DAVID F.	29,127	STAVISH, SABRINA CROWLEY	33,374
GROSETH, CRAIG C.	31,713	HANSEN, LEWIS D.	35,536
TOMPKINS, MICHAEL L.	30,980	KOVARIK, JOSEPH E.	33,005
BLAKELY, TODD P.	31,328	SWARTZ, DOUGLAS W.	37,739
CONNELL, GARY J.	32,020	KUGLER, BRUCE A.	38,942
CROOK, WANNELL M.	31,071	BRUNELLI, ROBERT R.	39,617

☒ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto

Please direct all correspondence to:

☐ Customer Number or label

OR ☐ Fill in correspondence address below

Name GARY J. CONNELL

Address 1560 BROADWAY, SUITE 1200

Address State COLORADO Zip 80202-5141

City DENVER Telephone (303) 863-9700 Fax (303) 863-0223

Country UNITED STATES OF AMERICA

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name John Middle Initial G. Family Name GODDARD Suffix e.g. Jr.

Inventor's Signature Date

Residence City San Francisco State California Country United States Citizenship United States

Post Office Address 110 Congo Street

Post Office Address City San Francisco State California Zip 94131 Country United States Applicant Authority

☒ Additional inventors are being named on supplemental sheet attached hereto.

Type a plus sign (+) inside this box → ☐

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Donald	Middle Initial	H.	Family Name	PICKER	Suffix e.g. Jr.	
Inventor's Signature					Date	12/24/2000	
Residence City	Warren	State	New Jersey	Country	USA	Citizenship	USA
Post Office Address	20 Broadway Road						
Post Office Address							
City	Warren	State	New Jersey	Zip	07060	Country	USA
Applicant Authority							

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Samuel	Middle Initial	R.	Family Name	UMANSKY	Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence City	Richmond	State	California	Country	USA	Citizenship	USA
Post Office Address	6034 Monterey Avenue						
Post Office Address							
City	Richmond	State	California	Zip	94805	Country	USA
Applicant Authority							

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Steven	Middle Initial		Family Name	PRICE	Suffix e.g. Jr.	
Inventor's Signature					Date	03/11/00	
Residence City	Aylesbury	State	Bucks	Country	United Kingdom	Citizenship	United Kingdom
Post Office Address	22 Eskdale Road, Stokenandeville						
Post Office Address	25 B.P. 03/11/00						
City	Aylesbury	State	Bucks	Zip	HP22 6UJ	Country	United Kingdom
Applicant Authority							

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Jac	Middle Initial	C	Family Name	WILKMAN	Suffix e.g. Jr.	
Inventor's Signature					Date	7/11/00	
Residence City	Abingdon	State	Oxon	Country	United Kingdom	Citizenship	THE NETHERLANDS
Post Office Address	30 Alexander Close						
Post Office Address							
City	Abingdon	State	Oxon	Zip	OX14 1XA	Country	United Kingdom
Applicant Authority							

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Edward	Middle Initial	A	Family Name	BOYD	Suffix e.g. Jr.	

Type a plus sign (+) inside this box

DECLARATION

Page 2

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U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Serial Number (if applicable)

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As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

☒ Firm Name SHERIDAN ROSS P.C. Customer Number or label
☐ List attorney(s) and/or agent(s) name and registration number below:

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ZINGER, DAVID P.	29,127	STAVISH, SABRINA CROWLEY	33,374
ROBERTH, CRAIG C.	31,713	HANSEN, LEWIS D.	35,938
TOMPKINS, MICHAEL L.	30,980	KOVARIK, JOSEPH E.	33,005
BLAKELY, TONN P.	31,328	SWARTZ, DOUGLAS W.	37,134
CONNELL, GARY J.	32,020	KUOLER, BRUCE A.	28,842
CROOK, WANNELL M.	31,071	BRUNELL, ROBERT R.	39,517

☒ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto

Please direct all correspondence to: ☐ Customer Number or label ☐ OR ☐ Pat in correspondence address below

Name GARY J. CONNELL
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 Address
 City DENVER State COLORADO Zip 80202-0141
 Country UNITED STATES OF AMERICA Telephone (303) 693-9700 Fax (303) 693-0220

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unnamed inventor

Given Name John Middle Initial G. Family Name GODDARD Suffix e.g. Jr.

Inventor's Signature [Signature] Date 21 Dec, 2000

Residence City San Francisco State California Country United States Citizenship CANADA

Print Office Address 110 Congo Street

Post Office Address

City San Francisco State California Zip 94131 Country United States Applicant Authority

☒ Additional inventors are being named on supplemental sheet attached hereto.

Type a plus sign (+) inside this box ☐

FROM

(WED) 12 20' 00 17:23 22/NO. 3561683559 P 4

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

20

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Donald	Middle Initial	H.	Family Name	PICKER	Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence City	Warren	State	New Jersey	Country	USA	Citizenship	USA
Post Office Address	20 Broadway Road						
Post Office Address							
City	Warren	State	New Jersey	Zip	07059	Country	USA
Applicant Authority							

30

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Samuil	Middle Initial	R.	Family Name	UMANSKY	Suffix e.g. Jr.	
Inventor's Signature					Date	12/20/00	
Residence City	Richmond	State	California	Country	USA	Citizenship	USA
Post Office Address	6034 Monterey Avenue						
Post Office Address							
City	Richmond	State	California	Zip	94805	Country	USA
Applicant Authority							

40

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Steven	Middle Initial		Family Name	PRICE	Suffix e.g. Jr.	
Inventor's Signature					Date	03/11/00	
Residence City	Aylesbury	State	Bucks	Country	United Kingdom	Citizenship	United Kingdom
Post Office Address	22 Eskdale Road, Stokenandeville						
Post Office Address	25 B.P. 03/11/00						
City	Aylesbury	State	Bucks	Zip	HP22 5UJ	Country	United Kingdom
Applicant Authority							

50

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Jac	Middle Initial	C	Family Name	WIJDMANS	Suffix e.g. Jr.	
Inventor's Signature					Date	7/11/00	
Residence City	Abingdon	State	Oxon	Country	United Kingdom	Citizenship	THE NETHERLANDS
Post Office Address	30 Alexander Close						
Post Office Address							
City	Abingdon	State	Oxon	Zip	OX14 1XA	Country	United Kingdom
Applicant Authority							

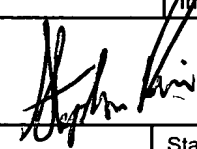
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Edward	Middle Initial	A	Family Name	BOYD	Suffix e.g. Jr.	


DECLARATION

ADDITIONAL INVENTOR(S)
Additional Sheet

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Donald	Middle Initial	H.	Family Name	PICKER	Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence City	Warren	State	New Jersey	Country	USA	Citizenship	USA
Post Office Address	20 Broadway Road						
Post Office Address							
City	Warren	State	New Jersey	Zip	07059	Country	USA
				Applicant Authority			

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Samuil	Middle Initial	R.	Family Name	UMANSKY	Suffix e.g. Jr.	
Inventor's Signature					Date		
Residence City	Richmond	State	California	Country	USA	Citizenship	USA
Post Office Address	6034 Monterey Avenue						
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City	Richmond	State	California	Zip	94805	Country	USA
				Applicant Authority			

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Steven	Middle Initial		Family Name	PRICE	Suffix e.g. Jr.	
Inventor's Signature					Date	03/11/00	
Residence City	Aylesbury	State	Bucks	Country	United Kingdom	Citizenship	United Kingdom
Post Office Address	22 Eskdale Road, Stokemandeville						
Post Office Address	25 b.p. 03/11/00						
City	Aylesbury	State	Bucks	Zip	HP22 5UJ	Country	United Kingdom
				Applicant Authority			

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Jac	Middle Initial	C	Family Name	WIJKMANS	Suffix e.g. Jr.	
Inventor's Signature					Date	7/11/00	
Residence City	Abingdon	State	Oxon	Country	United Kingdom	Citizenship	THE NETHERLANDS
Post Office Address	30 Alexander Close						
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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Inventor's Signature						1/11/00	
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☐ Further applicants and/or (further) inventors are indicated on another continuation sheet

Form PCT/RO/101 (continuation sheet)(July 1998)(form duplicated by Sheridan Ross P.C.-jmm)

See Notes to the request form

